







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/895,848

06/29/2001

Paul F. Crowder

122.4-US-U1

CONFIRMATION NO. 5900

FORMALITIES LETTER °CC000000006461881°

Agility Communications, Inc. 421 Pine Avenue Santa Barbara, CA 93117

COPY OF PAPERS ORIGINALLY FILED

Date Mailed: 08/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/26/2002 SMINASS1 00000100 09895848

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

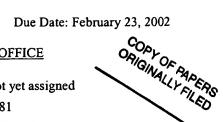
PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/26/2002 SMINASS1 00000101 09895848

01 FC:201

370.00 OP





UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paul F. Crowder

Examiner:

Not yet assigned

Serial No.:

09/855,848

Group Art Unit:

2881

Filed:

June 29, 2001

Docket:

G&C 122.4-US-U1

Title:

OPEN LOOP CONTROL OF SGDBR LASERS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February,

BOX MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- Petition for Extension of Time under 37 C.F.R. 1.136 for 4 months.
- PTO-2038 Credit Card Payment Form for the amount of \$720.00 for the Extension Fee is enclosed herewith.
- Request for Corrected Filing Receipt and Copy of Filing Receipt.
- Verified statement to establish small entity status.
- Signed Combined Declaration and Power of Attorney.
- Form PTO-1533 Part 2 Notice to File Missing Parts of Application.
- PTO-2038 Credit Card Payment Form for the amount of \$65.00 for the Surcharge Fee is enclosed herewith.
- PTO-2038 Credit Card Payment in the amount of \$370.00 for Filing Fee.
- Notice of Change of Mailing Address.
- Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

CUSTOMER NUMBER 22462.

GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: George H. Gates

Reg. No.: 33,500

GHG/sim

(PTO TRANSMITTAL - GENERAL)



Reckipt\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Paul F. Crowder

Examiner:

Not yet assigned

Serial No.:

09/895,848

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OPEN LOOP CONTROL OF SGDBR LASERS

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Name: George H. Gates

REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination

Customer Service Center

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 29, 2001.

The requested correction is shown in **Bold** as follows:

Under the heading "Domestic Priority data as claimed by applicant":

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000

AND CLAIMS BENEFIT OF 60/215,170 06/29/2000

AND CLAIMS BENEFIT OF 60/215,742 06/29/2000

AND A CIP OF 09/848,791 05/04/2001

WHICH CLAIMS BENEFIT OF 60/203,052 05/04/2000

THIS APPLICATION 09/895,848

AND A CIP OF 09/872,438 06/01/2001

WHICH CLAIMS BENEFIT 60/209,068 06/02/2000

AND A CIP OF 09/879,821 06/11/2001

AND CLAIMS BENEFIT OF 60/210,612 06/09/2000

AND A CIP OF 09/895,303 06/29/2001

AND A CIP OF 09/895,598 06/29/2001

ORIGINALLY FILED

Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045 (310) 641-8797

Date: February 1, 2002

GHG/sjm

Name: George H. Gates

Reg. No.: 33,500

No.7925 P. 5

Page 1 of 3



United States Patent and Trademark Office

2 0 2002

COMMISSIONER FOR PAYENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE RECTO ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

09/895,848 06/29/2001 2881 0.00 122.4-US-U1 12 6

CONFIRMATION NO. 5900

Agility Communications, Inc. 421 Pine Avenue Santa Barbara, CA 93117

COPY OF PAPERS ORIGINALLY FILED FILING RECEIPT

OC000000006461880

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul F. Crowder, Residence Not Provided,

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/215,739 06/29/2000 AND CLAIMS BENEFIT OF 60/215,170 06/29/2000 AND CLAIMS BENEFIT OF 60/215,742 06/29/2000 AND A CIP OF 09/848,791 05/04/2001 WHICH CLAIMS BENEFIT OF 60/203,052 05/04/2000 THIS APPLICATION 09/895,848 CIP OF 60/209,068 06/02/2000 AND CLAIMS BENEFIT OF 60/209,068 06/02/2000 AND CLAIMS BENEFIT, OF 60/210,612 06/09/2000

872,43 8 - 00/879,821 06/11/2001

AND CLAIMS BENEFIT OF 80/210,612 08/09/2000 AND A CIP OF C9/805,303 06/29/2001 AND A CIP OF O9/895,598 06/29/2001

Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Open loop control of SGDBR lasers

\0.7911 P. 7/9
Page 2 of 3

Preliminary Class

372

Data entry by : QUINTEROS, KARLA

Team : OIPE

Date: 08/23/2001

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Page 3 of 3

Pane性でENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Secti n 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

COPY OF PAPERS ORIGINALLY FILED

GRANTED

Nov.29. 2001 1:44/PM

FEB 2

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filling date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, DC 20231